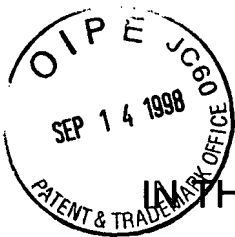


Seator



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jeffrey C. Hedrick et al.

Serial No. 09/129,737

Filed: August 5, 1998

Date: September 11, 1998.

Group:

Examiner:

Docket No. YO998-308

For: TRANSPARENT HARD COATS FOR OPTICAL ELEMENTS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application - Filing Date Granted  
Declaration and Power of Attorney  
Assignment  
Recordation Form Cover Sheet  
Deposit Account Authorization Form  
Acknowledgment Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
Washington, DC 20231

on September 11, 1998

Sandra Emma  
(Print name of person mailing paper)  
Sandra Emma  
(Signature of person mailing paper)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Jeffrey C. Hedrick et al.

Date: September 11, 1998

Serial No. 09/129,737

Group Art Unit:

Filed: August 5, 1998

Docket: YO998-308

Title: TRANSPARENT HARD COATS FOR OPTICAL ELEMENTS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

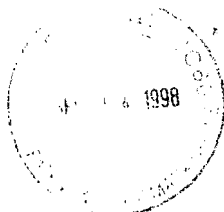
In response to the Notice to File Missing Parts of Application dated August 5, 1998, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully-submitted,

A handwritten signature in black ink, appearing to read "Daniel P. Morris", written over a horizontal line.

Daniel P. Morris  
Reg. No. 32,053  
Tel. No. (914) 945-3217

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202